

Substitute for Form 1449A/PTO (Modified) (Use as many sheets as necessary)	Attorney Docket No.: 003801.P025	Application Number: 09/589,585
	First Named Inventor: Pearson et al.	
	Filing Date: 06/07/2000	

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
MK		5,986,662		Argiro et al.	11/16/1999	
MK		6,070,125		Murphy et al.	05/30/2000	

RECEIVED

JAN 14 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup> Code <sup>5</sup>	Number <sup>4</sup>	Kind (If known)				

Examiner Signature	<i>manthanhnguyen</i>	Date Considered	10/24/03
-----------------------	-----------------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3

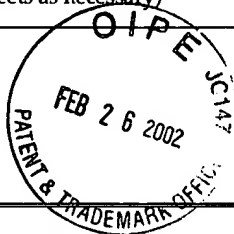
Substitute for Form 1449A/PTO (Modified)  
(use as many sheets as necessary)Attorney Docket No.:  
003801.P025Application Number:  
09/589,585First Named Inventor:  
Jennifer Pearson et al.

RECEIVED

Filing Date:  
6/7/00

MAR 01 2002

Technology Center 2100

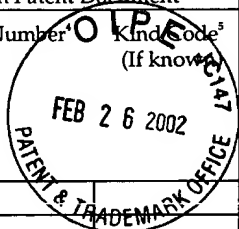


## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
MIK		6,035,288		Solomon	3/7/00	
MIK		4,864,516		Gaither et al.	9/5/89	
MIK		5,205,200		Wright	4/27/93	
MIK		5,485,510		Colbert	1/16/96	
MIK		5,557,728		Garrett et al.	9/17/96	
MIK		5,598,557		Doner et al.	1/28/97	
MIK		5,657,389		Houvener	8/12/97	
MIK		5,694,546		Reisman	12/2/97	
MIK		5,706,457		Dwyer et al.	1/6/98	
MIK		5,715,314		Payne et al.	2/3/98	
MIK		5,771,291		Newton et al.	6/23/98	
MIK		5,771,380		Tanaka et al.	6/23/98	
MIK		5,799,285		Klingman	8/25/98	
MIK		5,803,500		Mossberg	9/8/98	
MIK		5,845,265		Woolston	12/1/98	
MIK		5,872,848		Romney et al.	2/16/99	
MIK		5,873,069		Reuhl et al.	2/16/99	
MIK		5,884,056		Steele	3/16/99	
MIK		5,890,138		Godin et al.	3/30/99	
MIK		5,905,974		Fraser et al.	5/18/99	
MIK		5,905,975		Ausubel	5/18/99	
MIK		5,922,074		Richard et al.	7/13/99	
MIK		5,926,794		Fethe	7/20/99	
MIK		5,991,739		Cupps et al.	11/23/99	
MIK		6,035,402		Vaeth et al.	3/7/00	
MIK		6,044,363		Mori et al.	3/28/00	
MIK		6,055,518		Franklin et al.	4/25/00	
MIK		6,058,417		Hess et al.	5/2/00	
MIK		6,073,117		Oyanagi et al.	6/6/00	
MIK		6,104,815		Alcorn et al.	8/15/00	

# FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
MIC		PCT			WO 99/63461 • Technology Center 2100	12/9/99		



RECEIVED

MAR 01 2002

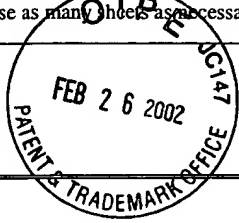
Examiner Signature	<i>markhanhnguyen</i>	Date Considered	10/24/03
-----------------------	-----------------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 003801.P025	Application Number: 09/589,585
		First Named Inventor: Jennifer Pearson et al.	<b>RECEIVED</b> MAR 01 2002
		Filing Date: 6/7/00	
OTHER ART - NO PATENT LITERATURE DOCUMENTS Technology Center 2100			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
MK		Chris Preist and Maarten van Tol, "Adaptive Agents in a Persistent Shout Double Auction", pgs. 11-18, ACM Digital Library 1999, International Conference on Information and Computation Economics, Proceedings of the first international conference on Information and computation economies, October 25-28, 1998, Charleston United States	
MK		Paul Resnick, Richard Zeckhauser, Eric Friedman, Ko Kuwabara, "Reputation Systems", Association for Computing Machinery, Communications of the ACM v43n12, pp:45-48, Dec. 2000, 4 pages, 2001 The Dialog Corporation	
MK		Jim Meade, "Visual 360: A Performance Appraisal System That's Fun (Evaluation), HR Magazine, 44, 7, 118(3), July 1999, 2001 The Dialog Corporation, 2 pages	
MK		Brodeur Porter Novelli, Bradd M. Pavur, Don Piper, "MEDIAPPRAISE: Mediappraise Receives National Award For Web-based Technology That Enables Companies To Solve Thorny HR Problem", December 14, 1998, 2 pages, 2001 The Dialog Corporation	
MK		Niehaus Ryan Haller, Marian Sly, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum In Boston", May 24, 1995, Byline: Business Editors/Computer Writers,	

Examiner Signature	<i>monkhanhnguyen</i>	Date Considered	10/24/03
--------------------	-----------------------	-----------------	----------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# 4

Substitute for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

JUN 03 2002

 PATENT & TRADEMARK OFFICE  
 JUN 03 2002

Sheet

of

8

Complete if Known

Application Number	09/589,585
Filing Date	June 7, 2000
First Named Inventor:	Jennifer Pearson et al.
Group Art Unit	2776
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P025

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
		5,596,994		Bro	1/28/97	
mk		5,722,418		Bro	3/3/98	
mk		5,136,501		Silverman, et al.	8/4/92	
mk		5,168,446		Wiseman	12/1/92	RECEIVED
mk		5,243,515		Lee	9/7/93	
mk		5,258,908		Hartheimer, et al.	11/2/93	JUN 05 2002
mk		5,280,422		Moe et al.	1/18/94	
mk		5,295,031		Guterman et al.	3/22/94	Technology Center 2100
mk		5,297,032		Trojan et al.	3/22/94	
mk		5,305,200		Hartheimer et al.	4/19/94	
mk		5,325,297		Bird et al.	6/28/94	
mk		5,329,589		Fraser et al.	7/12/94	
mk		5,924,072		Havens	7/13/99	
mk		5,375,055		Togher et al.	12/20/94	
mk		5,974,412		Hazlehurst et al.	10/26/99	
mk		5,394,324		Clearwater	2/28/95	
mk		3,581,072		Nymayer	5/25/71	
mk		3,573,747		Adams et al.	4/6/71	
mk		4,412,287		Braddock III	10/25/83	
mk		4,674,044		Kalmus et al.	4/16/97	
mk		4,677,552		Sibley Jr.	6/30/87	

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
mk			2,253,543		Canada	3/19/97		
mk			2 658 635		France	2/6/91		
mk			9300266		Netherland	2/10/93		
mk			RS 102472 US		EPO Search Report	4/9/99		
mk		WO	94/22108		PCT	9/29/94		
mk		WO	98/35468		PCT	8/13/98		

Examiner Signature

Markhanhquyen

Date Considered

10/24/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

JUN 03 2002

Sheet 2 of 8

## Complete if Known

Application Number	09/589,585
Filing Date	June 7, 2000
First Named Inventor:	Jennifer Pearson et al.
Group Art Unit	2776
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P025

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
MR		5,101,353		Lupien et al.	3/31/92	
MR		5,761,655		Hoffman	6/2/98	
MR		5,835,896		Fisher, et al.	11/10/98	
MR		5,845,266		Lupien et al.	12/1/98	
MR		5,850,442		Muftic	12/15/98	
MR		5,426,281		Abecassis	6/20/95	
MR		5,553,145		Micali	9/3/96	
MR		5,640,569		Miller, et al.	6/17/97	
MR		5,664,115		Fraser	9/2/97	
MR		5,689,652		Lupien et al.	11/18/97	
MR		5,710,889		Clark, et al.	1/20/98	
MR		5,715,402		Popolo	2/3/98	
MR		5,717,989		Tozzoli, et al.	2/10/98	
MR		5,727,165		Ordish, et al.	3/10/98	
MR		5,794,219		Brown	8/11/98	
MR		5,818,914		Fujisaki	10/6/98	
MR		5,826,244		Huberman	10/20/98	
MR		4,789,928		Fujisaki	12/6/88	
MR		4,799,156		Shavit et al.	1/17/89	
MR		4,823,265		Nelson	4/18/89	

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
MR		WO	97/37315		PCT	10/9/97		
MR		WO	96/34356		PCT	10/31/96		
MR		WO	92/15174		PCT	9/3/92		
MR		WO	01/95297		PCT	12/13/01		
MR		WO	95/17711		PCT	6/29/95		
MR		US	00/171136		PCT Search Report	11/16/00		
MR		US	01/12398		PCT Search Report	8/27/01		

Examiner Signature

Mankhanhaque

Date Considered

10/24/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





Substitute for Form 1449A/PTO (Modified)

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/589,585
Filing Date	June 7, 2000
First Named Inventor:	Jennifer Pearson et al.
Group Art Unit	2776
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P025

Sheet 4 of 8

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Technology Center 2100

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
MK		Zwass, Vladimir., "Electronic Commerce: Structures and Issues", International Journal of Electronic Commerce, Fall 1996, pages 3-23, Vol.1, No.1	
MK		Van Heck, E; Ribbers, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", Focus Theme, No date given, pages 1-6	
MK		Lee, HG., "Aucnet: Electronic Intermediary for Used-Car Transactions", Focus Theme, No date given, pages 1-5	
MK		Klein, Stephan, "Introduction to Electronic Auctions:", Focus Theme, No date given, pages 1-4	
MK		Turban, E., "Auctions and Bidding on the Internet and Assessment", Focus Theme, No date given, pages 1-5	
MK		Schmid, BF., "The Development of Electronic Commerce:", Newsletter of the Competence Centre Electronic Markets, October 1993, No. 9/10	
MK		Tjostheim, I; Eide, J., "A Case Study of An On-Line Auction for the World Wide Web", Norwegian Computing Center, No date given, pages 1-10	
MK		Graham, I., "The Emergence of Linked Fish Markets in Europe", Focus Theme, No date given, pages 1-3	
MK		Reck, Martin, "Trading-Process Characteristics of Electronic Auctions", Focus Theme, No date given, pages 1-7	
MK		Mardesich, J., "Onsale Takes Auction Gavel Electronic", Computer Reseller News, July 8, 1996, page 2 continued on page 32, Mountain View, California	
MK		"Onsale Joins Fray As Online Shopping Picks Up Speed: Internet Booms", Computer Reseller News, June 5, 1995, page 73, CMP Publications, In., USA	
MK		Siegmann, Ken, "Nowhere To Go But Up:", PC Week, October 23, 1995, page 1-3, Vol.12, No.42, Ziff-Davis Publishing Company	

Examiner  
Signature

Mark H. Haggren

Date  
Considered

10/24/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

8

Application Number

09/589,585

Filing Date

June 7, 2000

First Named Inventor:

Jennifer Pearson et al.

Group Art Unit

2776

Examiner Name

Not yet assigned

Attorney Docket Number

003801.P025

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
MIC		"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction for the Computer Museum in Boston", May 24, 1995, page 3	
MIC		Reck, Martin, "Formally Specifying and Automated Trade Execution System", Journal of Systems and Software, 1993, pages 245-252, Vol.21, Elsevier Science Publishing Co., Inc., New York, NY	
MR		"Anonymous Delivery of Goods in Electronic Commerce", IBM Technical Disclosure Bulletin, March 1996, pages 363-366, Vol. 39, No.3	
MR		Lee, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", Proceedings of the 29 <sup>th</sup> HICSS, 1996, pages 397-406, Vol. IV	
MR		Clemins, E., Weber, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", International Conference on Information Systems, 1991, pages 53-61, Vol.12	
MIC		Rockoff, T.E., Groves, M., "Design of an Internet-Based System for Remote Dutch Auctions", Internet Research - Electronic Networking Applications and Policy, January 1, 1995, Vol.5, No.4, pages 10-16	
		Massimb, M.N., Phelps, B.D., "Electronic Trading, Market Structure and Liquidity", Financial Analysts Journal, January-February 1994, Vol.50, No.1, pages 39-50, Charlottesville, Virginia	
MIC		Post, D.L., Coppinger, S.S., et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", IEEE Transactions on Power Systems, August 1995, page 7, Vol.10, No.3	
MR		Hess, C.M., Kemerer, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", MIS Quarterly, September 1994, Vol.18, No.3, pages 251-274	
MIC		Malone, T.W., Yates, J., et al., "Electronic Markets and Electronic Hierarchies", Communications - of the ACM, June 1987, pages 484-497, Vol.30, No.6, USA	
MR		Warbelow, A., Kokuryo, J., "Aucnet: TV Auction Network System", Harvard Business School Case/Study, July 1989, pages 1-15, HBVR# 9-190-001, USA	
MIC		"Personal Optimized Decision/Transaction Program", IBM Technical Disclosure Bulletin, January 1995, pages 83-84, Vol.38, No.1, USA	

Examiner  
Signature

markhanhquien

Date  
Considered

10/24/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/589,585
Filing Date	June 7, 2000
First Named Inventor:	Jennifer Pearson et al.
Group Art Unit	2776
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P025

Sheet 6 of 8

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Technology Center 2100

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
MK		Neo, B.S., "The Implementation of An Electronic Market for Pig Trading in Singapore", Journal of Strategic Information Systems, December 1992, pages 278-288, Vol.1, No.5	
MK		Auctiva Showcase, downloaded 1/15/01 from <a href="http://www.auctiva.com">http://www.auctiva.com</a>	
MK		AuctionWatch.com, downloaded 1/15/01 from <a href="http://www.auction.com">http://www.auction.com</a>	
MK		Yahoo Auctions Website, downloaded 10/23/98 from <a href="http://auctions.yahoo.com">http://auctions.yahoo.com</a>	
		Onsale Auction Website, downloaded 10/23/98 from <a href="http://onsale.com">http://onsale.com</a>	
MK		Netgrocer Website, downloaded 10/23/98 from Website <a href="http://www.netgrocer.com">http://www.netgrocer.com</a>	
MK		Auction Universe Website, downloaded 10/23/98 from <a href="http://auctionuniverse.com">http://auctionuniverse.com</a>	
MK		Hong et al., "Multilingual Information Retrieval System", Multimedia Storage and Archiving Systems, Nov. 18-19, 1996	
MK		Liepins et al., "A Browser Based Image Bank, Useful Tool or Expensive Toy", MEDNET97, November 1997	
MK		Mohan, "Text-Based Search of TV News Stories", Multimedia Storage and Archiving Systems, November 18-19, 1996	
MIC		Chris Priest & Maarten van Tol, "Adaptive Agents in a Persistent Shout Double Auction", pages 11-18, International conference on Information and Computation Economies, Proceedings of the first international conference on Information and computation economies, October 25-28, 1998 Charleston United States	

Examiner  
Signature

Maulthanngullen

Date  
Considered

10/24/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Substitute for Form 1449A/PTO (Modified)**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

JUN 03 2002

of

8

Application Number	09/589,585
Filing Date	June 7, 2000
First Named Inventor:	Jennifer Pearson et al.
Group Art Unit	2776
Examiner Name	Not yet assigned
Attorney Docket Number	003801.P025

Technology Center 2100

Cite  
No<sup>1</sup>

**“Visual 360: a performance appraisal system that's ‘fun.’ (Evaluation)”, Meade, Jim, ISSN: 1047-3149, 2 pages**

MK

MK.

**“MEDIAPPRAISE: Mediappraise Receives National Award For Web-based Technology That Enables Companies To Solve Thorny HR Problem”, 12/14/98, 2 pages**

MIC

“Reputation systems”, Resnick, Paul; Zeckhauser, Richard; Friedman, Eric; Kuwabara, Ko; Association for Computing Machinery, Communications of the ACM, v43n12 pp: 45-48, December 2000, 3 pages

Markham, Meen

10/24/03

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



**COPY OF PAPER  
ORIGINALLY FILED**

Technology Center 2100

$$T^6$$

Maulkhanhnguea

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

FEB 03 2003

## Sheet

of

**Complete if Known**

Application Number	09/589,585
--------------------	------------

Filing Date	6/7/02
-------------	--------

First Named Inventor:	Pearson, et al.
-----------------------	-----------------

Group Art Unit	2776
----------------	------

Examiner Name	Not yet assigned
---------------	------------------

Attorney Docket Number	03801.P025
------------------------	------------

## U.S. PATENT DOCUMENTS

[illegible]

RECEIVED  
FEB 06 2003  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

mauchanbrueon

Date Considered

10/24/03

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**